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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/904,256	07/11/2001	William F. Sherman	685.01-US1

CONFIRMATION NO. 2046

24392  
FISH & ASSOCIATES, LLP  
1440 N. HARBOR BLVD.  
SUITE 706  
FULLERTON, CA 92835

## FORMALITIES LETTER



\*OC000000006469345\*

Date Mailed: 08/24/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/20/2001 BABRAHA1 00000010 09904256

FILED UNDER 37 CFR 1.53(b)

01 FC:205

65.00 DP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

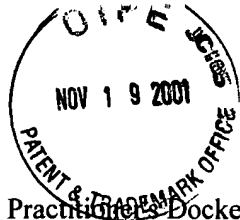


*Thaine*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Practitioner's Docket No. 685.01-US1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Sherman, William F.  
Application No.: 09/904,256 Group No.: 2641  
Filed: July 11, 2001 Examiner: Not yet determined  
For: VOICE RECOGNITION PERIPHERAL DEVICE

Box Missing Part  
Assistant Commissioner for Patents  
Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS  
-- NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed August 24, 2001.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

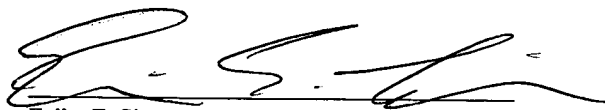
MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date:

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office at (703) \_\_\_\_ - \_\_\_\_.

  
Erika E. Simpson



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**SMALL ENTITY STATUS**

III. A statement that this filing is by a small entity was claimed on July 11, 2001 (original).

**COMPLETION FEES****IV. 1. Surcharge Fees**

Late payment of filing fee  
and/or late filing of original  
declaration or oath

(37 C.F.R. Section 1.16(e))

\$65.00

Total Completion Fees      \$65.00

**EXTENSION OF TIME**

V. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

**TOTAL FEE DUE****VI. The total fee due is:**

Completion fees	\$65.00
Extension fee (if any)	\$0.00
Total Fee Due	\$65.00



## PAYMENT OF FEES

VII. Enclosed is a check in the amount of 65.00.

Please charge Account No. 500341 for any fees that may be due by this paper.

Date: 10/10/01

A handwritten signature in cursive script, appearing to read "Robert D. Fish", written over a horizontal line.

Robert D. Fish  
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